

Representation results for integral and supremal functionals

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Integral functionals

$$\left\{ \begin{array}{l} \text{Homogenization} \\ \text{Integral Representation} \\ \text{Dimensional Reduction} \end{array} \right.$$

related to imaging, elasticity and optimal design problems.
Supremal functionals

$$\left\{ \begin{array}{l} \text{Dimensional reduction} \\ L^p \text{ approximation} \\ \text{Minimum problems without } \textit{convexity}. \end{array} \right.$$

The results have been obtained in collaboration with
Graça Carita (University of Evora),
Ana Margarida Ribeiro (New University of Lisbon).

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Common feature of the integral problems consists of minimizing a functional

$\mathcal{F}(\cdot, \cdot)$ with dependence on two unrelated fields.

1 $\mathcal{F}(u, v) = \|Du\|(\Omega) + \frac{1}{2\lambda} \|\varphi - u - v\|_{L^2}^2$: φ : given image,
 u, v : cartoon and noise.

Common feature of the integral problems consists of minimizing a functional

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Examples:

- 1 $\mathcal{F}(u, v) = \|Du\|(\Omega) + \frac{1}{2\lambda} \|\varphi - u - v\|_{L^2}^2$: φ : given image, u, v : cartoon and noise.
- 2 $\mathcal{F}(u, v) = \int_{\Omega} f\left(\frac{x}{\varepsilon}, v, \nabla u\right) dx$ u, v : displacement and chemical composition.

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- 2 $\mathcal{F}(u, v) = \int_{\Omega} f\left(\frac{x}{\varepsilon}, v, \nabla u\right) dx$ u, v : displacement and chemical composition.
- 3 $\mathcal{F}(\chi, v) = \int_{\Omega} (\chi W_1(\nabla v) + (1 - \chi)W_2(\nabla v)) dx + |D\chi|(\Omega)$.
 Ω : design region, v : displacement, χ : set to fill.

Focus on 3: Optimal design problems

Optimal Design

Main contributions (not complete list)

- Kohn- Strang
- Ambrosio -Buttazzo - with perimeter penalization
- Kohn- Li - with perimeter penalization
- Bouchitté- Fragalá-Seppecher - thin structures and linear elasticity setting)
- Fonseca- Franfort - dimensional reduction with no perimeter penalization
- Braides-Fonseca-Francfort- dimensional reduction with no perimeter penalization
- Larsen
- Carozza-Fonseca-Passarelli di Napoli -regularity

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Optimal Design Problem

3. Let $F : BV(\Omega; \{0, 1\}) \times W^{1,p}(\Omega; \mathbb{R}^d) \rightarrow [0, +\infty]$, $p \geq 1$

$$F(\chi_E, v) := \int_{\Omega} (\chi_E W_1 + (1 - \chi_E) W_2) (\nabla v) dx - \int_{\Omega} f \cdot v dx + \text{Per}(E; \Omega) \quad (1)$$

$$\lambda := \frac{1}{|\Omega|} \int_{\Omega} \chi_E(x) dx \rightsquigarrow \text{vol. frac.}$$

$E \subset \Omega$ has finite perimeter, $f \in L^{p'}(\Omega)$.

$W_i : \mathbb{R}^{d \times N} \rightarrow \mathbb{R}$ continuous satisfying

$$\alpha |\xi|^p - \gamma \leq W_i(\xi) \leq \beta (1 + |\xi|^p), \quad \forall \xi \in \mathbb{R}^{d \times N}, \quad i = 1, 2, \quad (2)$$

for some $\alpha, \beta, \gamma > 0$.

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Optimal Design Problem

$$C(\chi_E) = - \inf_{v \in W^{1,p}} \left\{ \int_{\Omega} (\chi_E W_1 + (1 - \chi_E) W_2) (\nabla v) dx - \int_{\Omega} f \cdot v dx + \text{Per}(E; \Omega) \right\}$$

The best optimal design would be

$$- \sup_{\chi} \left\{ -C(\chi) : \chi \in BV(\Omega; \{0, 1\}), \frac{1}{|\Omega|} \int_{\Omega} \chi dx = \lambda \right\}$$

Problem

$$\inf_{(\chi, v)} \left\{ F(\chi, v) : v = 0 \text{ on } \partial\Omega, \frac{1}{|\Omega|} \int_{\Omega} \chi dx = \lambda, \right. \\ \left. v \in W^{1,p}(\Omega; R^d), \chi \in BV(\Omega; \{0, 1\}) \right\}$$

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Result: superlinear case

Theorem ($p > 1$)

$\Omega \subset \mathbb{R}^N$ bounded, Lipschitz, open and $W_i : \mathbb{R}^{d \times N} \rightarrow [0, +\infty)$, $i = 1, 2$, continuous with growth (2). If \mathcal{F} denotes the relaxation ($L^1 \times L^1$) of F in (1) then

$$\mathcal{F}(\chi, v) = J_p(\chi, v)$$

for every $(\chi, v) \in BV(\Omega; \{0, 1\}) \times W^{1,p}(\Omega; \mathbb{R}^d)$.

$J_p : BV(\Omega; \{0, 1\}) \times W^{1,p}(\Omega; \mathbb{R}^d) \rightarrow [0, +\infty[$ is defined as

$$J_p(\chi, v) := \int_{\Omega} QV(\chi, \nabla v) dx - \int_{\Omega} f \cdot v dx + |D\chi|(\Omega).$$

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- $$V(e, \xi) := eW_1(\xi) + (1 - e)W_2(\xi) \quad (3)$$
- QV stands for the quasiconvexification of V in the second variable.
- The proof is direct via double inequality. It just requires p -equi-integrability results for the upper bound.
- The result is available also in the $3D - 2D$ setting.
- Other penalizations terms can be taken into account.

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- The result is available also in the $3D - 2D$ setting.
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$p > 1$: no interaction!

Linear case for optimal design

Case $p = 1$: (2) reads as

$$\alpha|\xi| - \gamma \leq W_i(\xi) \leq \beta(|\xi| + 1) \quad i = 1, 2.$$

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$$\alpha|\xi| - \gamma \leq W_i(\xi) \leq \beta(|\xi| + 1) \quad i = 1, 2.$$

- Relaxation \mathcal{F} of F in (1) \rightsquigarrow requires a representation on $BV(\Omega; \{0, 1\}) \times BV(\Omega; \mathbb{R}^d)$.
- Question: Which interaction between χ and v ?

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- Relaxation \mathcal{F} of F in (1) \rightsquigarrow requires a representation on $BV(\Omega; \{0, 1\}) \times BV(\Omega; \mathbb{R}^d)$.
- Question: Which interaction between χ and v ?
- Answer: Just in the 'jump set'.

Integral representation for \mathcal{F} , $p = 1$

Theorem

$\Omega \subset \mathbb{R}^N$, $W_i : \mathbb{R}^{d \times N} \rightarrow [0, +\infty)$, $i = 1, 2$, continuous with linear growth. Then, for every $(\chi, \nu) \in BV(\Omega; \{0, 1\}) \times BV(\Omega; \mathbb{R}^d)$,

$$\mathcal{F}(\chi, \nu) = J_1(\chi, \nu),$$

where

$$J_1(\chi, \nu) = \int_{\Omega} QV(\chi, \nabla \nu) dx + \int_{\Omega} QV^{\infty} \left(\chi, \frac{dD^c \nu}{d|D^c \nu|} \right) d|D^c \nu| \\ + \int_{J_{(\chi, \nu)}} K_2(\chi^+, \chi^-, \nu^+, \nu^-, \nu) d\mathcal{H}^{N-1}.$$

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Recall that V is as in (3) and QV is its quasiconvexification

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Recall that V is as in (3) and QV is its quasiconvexification and QV^∞ is the recession function of QV , namely,

$$QV^\infty(e, \xi) := \lim_{t \rightarrow \infty} \frac{QV(e, t\xi)}{t},$$

Relaxation: integral representation for \mathcal{F} , $\rho = 1$.

The interaction is described through the following density

$$K_2(a, b, c, d, \nu) := \inf \left\{ \int_{Q_\nu} QV^\infty(\chi(x), \nabla v(x)) dx + |D\chi|(Q_\nu) : (\chi, v) \in \mathcal{A}_2(a, b, c, d, \nu) \right\}, \quad (4)$$

where

$$\mathcal{A}_2(a, b, c, d, \nu) := \left\{ (\chi, v) \in BV(Q_\nu; \{0, 1\}) \times W^{1,1}(Q_\nu; \mathbb{R}^d) : \begin{aligned} &(\chi, v) = (a, c) \text{ if } y \cdot \nu = \frac{1}{2}, \quad (\chi, v) = (b, d) \text{ if } y \cdot \nu = -\frac{1}{2}, \\ &(\chi, v) \text{ 1-periodic in } \nu_1, \dots, \nu_{N-1} \text{ directions} \end{aligned} \right\},$$

for $(a, b, c, d, \nu) \in \{0, 1\} \times \{0, 1\} \times \mathbb{R}^d \times \mathbb{R}^d \times S^{N-1}$, with Q_ν the unit cube, centered at the origin, with axes parallel to $\{\nu_1, \nu_2, \dots, \nu_{N-1}, \nu\}$.

Relaxation: representation for \mathcal{F} , $p = 1$.

- The surface energy can be specialized as follows

$$\begin{aligned} & \int_{J_{(x,v)}} K_2(\chi^+, \chi^-, v^+, v^-, \nu_{(x,v)}) d\mathcal{H}^{N-1} = \\ & \int_{J_v \setminus J_x} QV^\infty(\chi, (v^+ - v^-) \otimes \nu_v) d\mathcal{H}^{N-1} + \\ & |D\chi|(\Omega \cap (J_x \setminus J_v)) \\ & + \int_{J_x \cap J_v} K_2(\chi^+, \chi^-, v^+, v^-, \nu_{(x,v)}) d\mathcal{H}^{N-1}. \end{aligned}$$

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Representation for \mathcal{F} , $p = 1$: comments.

- Key idea: to consider the couple (χ, ν) as a unique *BV*-field U , keeping in mind that $\nabla U = (0, \nabla \nu)$ and $D^c U = (0, D^c \nu)$

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- Key idea: to consider the couple (χ, ν) as a unique BV -field U , keeping in mind that $\nabla U = (0, \nabla \nu)$ and $D^c U = (0, D^c \nu)$
- Existing results: **Barroso-Bouchitté-Buttazzo-Fonseca** ARMA (1996): Relaxation in BV^* of $u \in SBV \rightarrow \int_{\Omega} f(x, \nabla u) dx + \int_{J_u} g(x, [u](x), \nu_u) d\mathcal{H}^{N-1}$. **Bouchitté -Fonseca- Mascarenhas**, Global Method ARMA (1998).

Representation for \mathcal{F} , $p = 1$. Sketch of the proof.

- Blow-up!

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Representation for \mathcal{F} , $p = 1$. Sketch of the proof.

- Blow-up!
- Lower bound inequality:

$$\mathcal{F}(\chi, v) \geq \lim_{\varepsilon \rightarrow 0} \int_{\Omega} (\chi_{\varepsilon} W_1(\nabla v_{\varepsilon}) + (1 - \chi_{\varepsilon}) W_2(\nabla v_{\varepsilon})) dx. \quad (5)$$

“Bulk” and “Cantor” parts: **it 's enough.**

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Representation for \mathcal{F} , $p = 1$. Sketch of the proof.

- Blow-up!
- Lower bound inequality:

$$\mathcal{F}(\chi, v) \geq \liminf_{\varepsilon \rightarrow 0} \int_{\Omega} (\chi_{\varepsilon} W_1(\nabla v_{\varepsilon}) + (1 - \chi_{\varepsilon}) W_2(\nabla v_{\varepsilon})) dx. \quad (5)$$

“Bulk” and “Cantor” parts: **it 's enough.**

- But

$$\mathcal{F}(\chi, v) > \liminf_{\varepsilon \rightarrow 0} \int_{\Omega} (\chi_{\varepsilon} W_1(\nabla v_{\varepsilon}) + (1 - \chi_{\varepsilon}) W_2(\nabla v_{\varepsilon})) dx.$$

need of 'extending-gluing' Fonseca-Muller ARMA (1993) and Ambrosio-Braides JMPA (1990).

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- **Upper bound inequality:** \mathcal{F} is a measure absolutely continuous with respect to $\mathcal{L}^N + |D\chi| + |Dv|$.

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- **Upper bound inequality:** \mathcal{F} is a measure absolutely continuous with respect to $\mathcal{L}^N + |D\chi| + |Dv|$.
- “Bulk” and “Cantor” parts :via ”Global Method for Relaxation” BFM (1998).

Representation for \mathcal{F} , $p = 1$. Sketch of the proof.

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- **Upper bound inequality:** \mathcal{F} is a measure absolutely continuous with respect to $\mathcal{L}^N + |D\chi| + |Dv|$.
- “Bulk” and “Cantor” parts :via ”Global Method for Relaxation” BFM (1998).
- “Jump” term requires a direct estimate.

Representation for \mathcal{F} : open points.

- Consider

$$\inf \left\{ \lim_{\varepsilon \rightarrow 0} \left(\int_{\Omega} (u_{\varepsilon} W_1 + (1 - u_{\varepsilon}) W_2) |\nabla v_{\varepsilon}| dx + \int_{\Omega} |\nabla v_{\varepsilon}| dx \right) : \{(u_{\varepsilon}, v_{\varepsilon})\} \subset W^{1,1}(\Omega; [0, 1]) \times W^{1,1}(\Omega; \mathbb{R}^d) \right. \\ \left. \begin{array}{l} u_{\varepsilon} \rightarrow u \text{ in } L^1(\Omega; [0, 1]) \\ v_{\varepsilon} \rightarrow v \text{ in } L^1(\Omega; \mathbb{R}^d) \end{array} \right\},$$

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Representation for \mathcal{F} : open points.

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$$\inf \left\{ \lim_{\varepsilon \rightarrow 0} \left(\int_{\Omega} (u_{\varepsilon} W_1 + (1 - u_{\varepsilon}) W_2) |\nabla v_{\varepsilon}| dx + \int_{\Omega} |\nabla v_{\varepsilon}| dx \right) : \{(u_{\varepsilon}, v_{\varepsilon})\} \subset W^{1,1}(\Omega; [0, 1]) \times W^{1,1}(\Omega; \mathbb{R}^d) \right. \\ \left. \begin{array}{l} u_{\varepsilon} \rightarrow u \text{ in } L^1(\Omega; [0, 1]) \\ v_{\varepsilon} \rightarrow v \text{ in } L^1(\Omega; \mathbb{R}^d) \end{array} \right\},$$

- Particular case in Fonseca-Muller ARMA (1993).

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Representation for \mathcal{F} : open points.

- Recall that the jump term in FM (1993) is given by :

$$K(a, b, c, d, \nu) := \inf \left\{ \int_{Q_\nu} (QV^\infty(u, \nabla v) + |\nabla u|) dx : (u, v) \in \mathcal{A}(a, b, c, d, \nu) \right\},$$

where V is as in (3) and

$$\mathcal{A}(a, b, c, d, \nu) := \left\{ (u, v) \in W^{1,1}(Q_\nu; \mathbb{R}^{1+d}) : \begin{aligned} (u, v) &= (a, c) \text{ if } y \cdot \nu = \frac{1}{2}, \quad (u, v) = (b, d) \text{ if } y \cdot \nu = -\frac{1}{2}, \\ (u, v) &\text{ are } 1\text{-periodic in } \nu_1, \dots, \nu_{N-1} \text{ directions} \end{aligned} \right\},$$

$$(a, b, c, d, \nu) \in \{0, 1\} \times \{0, 1\} \times \mathbb{R}^d \times \mathbb{R}^d \times S^{N-1}.$$

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$$(a, b, c, d, \nu) \in \{0, 1\} \times \{0, 1\} \times \mathbb{R}^d \times \mathbb{R}^d \times S^{N-1}.$$

- Easy to see that $K \leq K_2$. What about $K_2 \leq K$?

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- Other growth assumptions on W_1 and W_2 .

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- Replace perimeters by piecewise affine functions.

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- Other growth assumptions on W_1 and W_2 .
- Replace perimeters by piecewise affine functions.
- Relaxation in $SBV_0(\Omega; \mathbb{R}^m) \times W^{1,1}(\Omega; \mathbb{R}^d)$

$$\mathcal{F}(u, v) =$$

$$\inf \left\{ \liminf_{\varepsilon \rightarrow 0} \left(\int_{\Omega} f(u_{\varepsilon}, \nabla v_{\varepsilon}) dx + \int_{J_{u_{\varepsilon}}} g(u_{\varepsilon}^+, u_{\varepsilon}^-, v_{u_{\varepsilon}}) d\mathcal{H}^{N-1} \right) \right\}$$

$$\{(u_{\varepsilon}, v_{\varepsilon})\} \subset SBV_0(\Omega; \mathbb{R}^m) \times W^{1,1}(\Omega; \mathbb{R}^d),$$

$$\left. \begin{aligned} u_{\varepsilon} &\rightarrow u \text{ in } L^1(\Omega; \mathbb{R}^m) \\ v_{\varepsilon} &\rightarrow v \text{ in } L^1(\Omega; \mathbb{R}^d) \end{aligned} \right\}$$

Optimal design: generalizations.

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Our result says that

$$\mathcal{F}(u, v) = \int_{\Omega} Qf(u, \nabla v) dx + \int_{\Omega} Qf^{\infty} \left(u, \frac{dD^c v}{d|D^c v|} \right) d|D^c v| +$$

$$\int_{J_{(u,v)} \cap A} K_3(u^+, u^-, v^+, v^-, \nu) d\mathcal{H}^{N-1},$$

for every $(u, v) \in SBV_0(\Omega; \mathbb{R}^m) \times BV(\Omega; \mathbb{R}^d)$.

Optimal design: generalizations.

$$K_3(a, b, c, d, \nu) :=$$

$$\inf \left\{ \int_{Q_\nu} Qf^\infty(u, \nabla v) dx + \int_{J_u \cap Q_\nu} g(u^+, u^-, \nu) d\mathcal{H}^{N-1} : \right.$$

$$\left. (u, v) \in \mathcal{A}_3(a, b, c, d, \nu) \right\}$$

where

$$\mathcal{A}_3(a, b, c, d, \nu) :=$$

$$\left\{ (u, v) \in (SBV_0(Q_\nu; \mathbb{R}^m) \cap L^\infty(Q_\nu; \mathbb{R}^m)) \times W^{1,1}(Q_\nu; \mathbb{R}^d) : \right.$$

$$(u, v) = (a, c) \text{ if } y \cdot \nu = -\frac{1}{2}, (u, v) = (b, d) \text{ if } y \cdot \nu = \frac{1}{2},$$

$$(u, v) \text{ are } 1\text{-periodic in } \nu_1, \dots, \nu_{N-1} \text{ directions} \left. \right\},$$

(6)

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- Comparison: $K_3 \leq K_2$. We suspect $K_3 < K_2$!

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Optimal design: generalizations.

- Comparison: $K_3 \leq K_2$. We suspect $K_3 < K_2$!
- More explicit formulas for the surface term K_3 .

$$\int_{J_{(u,v)}} K_3(u^+, u^-, v^+, v^-, \nu_{(u,v)}) d\mathcal{H}^{N-1} =$$
$$\int_{J_v \setminus J_u} Qf^\infty(u, (v^+ - v^-) \otimes \nu_v) d\mathcal{H}^{N-1} +$$
$$\int_{J_u \setminus J_v} \mathcal{R}g(u^+, u^-, \nu_u) d\mathcal{H}^{N-1} +$$
$$\int_{J_u \cap J_v} K_3(u^+, u^-, v^+, v^-, \nu_{(u,v)}) d\mathcal{H}^{N-1}$$

$\mathcal{R}g$ is the BV-elliptic envelope of g !

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Aronsson in

- *Minimization Problems for the Functional*
 $\sup_x F(x, f(x), f'(x))$. Ark. Mat. **6** (1965), 33- 53,
- *Min. Pb....* Ark. Mat. **6** (1966), 409- 431,
- *Min. Pb...* Ark. Mat.**7** (1969), 509- 512, and in
- *Extension of Functions satisfying Lipschitz conditions.*
Ark. Mat. **6** (1967), 551- 561

developed the theory in connection with infinity laplacian
namely with

$$\Delta_{\infty} u = 0 \text{ in } \Omega \subset \mathbb{R}^N,$$
$$\text{equivalently } \langle \nabla u, D^2 u \nabla u \rangle = 0,$$

$$(i.e. u_{x_i} u_{x_j} u_{x_i x_j} = 0,)$$

with $u : \Omega \subset \mathbb{R}^N \rightarrow \mathbb{R}$, u smooth enough

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Supremal functionals

Namely he considered the L^∞ -variational problem

$$\min I_\infty(u) = \min \operatorname{ess\,sup}_\Omega |\nabla u|.$$

for which

$$\Delta_\infty u = 0$$

represents the Euler-Lagrange (now 'Euler-Aronsson') equation.

Barron et al. (20-25 years later) addressed the theory, i.e.

$$\min_{u \in W^{1,\infty}(\Omega)} \operatorname{ess\,sup}_{x \in \Omega} f(x, u(x), \nabla u(x))$$

starting the study of $\operatorname{ess\,sup}_{x \in \Omega} f(x, u(x), \nabla u(x))$ making a parallel with the integral setting.

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Minimum problems

Why?

- Newton's law in \mathbb{R} . Pb: Want to minimize the maximum pointwise force of an object moving : $\min_u \|\nu(x)u''(x)\|_{L^\infty}$, $\nu(x)$ is the proportional factor between force and acceleration and it may be media dependent and discontinuous...
- Chebychev approximation theory,
- Design of beam subjected to loading and bending needs to minimize L^∞ norm of second derivatives of deflection.
- Minimal Lipschitz extension.

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- Chebychev approximation theory,
- Design of beam subjected to loading and bending needs to minimize L^∞ norm of second derivatives of deflection.
- Minimal Lipschitz extension.

Take $u_0 \in W^{1,\infty}(\Omega)$ ($f = ||$) and consider

$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x)) : u \in u_0 + W_0^{1,\infty}(\Omega) \right\}, \quad (\text{P})$$

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Supremal functionals - main contributions:

- Lower semicontinuity: by Barron, Jensen, Prinari,...
- Control theory: Barron,...
- PDE's applications: Barron,...
- L^p -approximation/ Γ -convergence: De Pascale, Prinari, Garroni, Ponsiglione,..
- Applications: Nesi, Bocea, Garroni, Prinari,...
- Supremal representation: Barron, Cardaliaguet, Prinari,...
- Homogenization: Briani, Garroni, Prinari,...
- Dimension reduction: Prinari, Babadjian, Z.
- Absolute minimizers: Champion, De Pascale, Crandall, Jutineen, Jensen, Barron,...
- \mathcal{A}_∞ -quasiconvexity: Bocea, Ansini, Prinari,...
- BV -setting: Gori, De Cicco, Maggi, Braides,...

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Supremal functionals: basic facts

$$\textit{Focus on } F(u) = \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x)), \quad u \in W^{1,\infty}(\Omega).$$

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$$\textit{Focus on } F(u) = \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x)), \quad u \in W^{1,\infty}(\Omega).$$

Barron and Liu in Appl. Math. Optim. '97 **rediscovered** the notion of 'level convexity', in order to characterize lower semicontinuity for F (cf. also Acerbi-Buttazzo-Prinari)

Theorem - Necessity If $F(u)$ is sequentially weak* lower semicontinuous, then f is lower semicontinuous and level convex.

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Theorem - Necessity If $F(u)$ is sequentially weak* lower semicontinuous, then f is lower semicontinuous and level convex.

Theorem - Sufficiency (Barron-Jensen-Wang '99 Ann. Poinc.)
If $f : \mathbb{R}^n \rightarrow \mathbb{R}$ level convex and lower semicontinuous then $F(u)$ is sequentially weakly* lower semicontinuous.

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Level convexity

Definition $f : \mathbb{R}^N \rightarrow \mathbb{R}$ is 'level convex' if the sublevels are convex, i.e. $\forall t \in \mathbb{R}$

$$L_t(f) := \{\xi \in \mathbb{R}^N : f(\xi) \leq t\} \text{ is convex.}$$

In other words for every $\lambda \in [0, 1], \xi_1, \xi_2 \in \mathbb{R}^N$

$$f(\lambda\xi_1 + (1 - \lambda)\xi_2) \leq \max\{f(\xi_1), f(\xi_2)\}$$

or **Supremal Jensen's ineq.**

$$f\left(\int_{\Omega} v(x) d\mu(x)\right) \leq \mu - \text{ess sup}_{x \in \Omega}(f(v(x))),$$

with μ probability measure, $v \in L^1_{\mu}(\Omega)$, Ω bounded domain.

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Level convexity

Convexity implies *level convexity*. Consider $f : x \in (0, 1) \rightarrow \sqrt{x}$ to see that *level convexity* **does not imply** convexity.

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Level convexity **does not imply** lower semicontinuity, i.e. level sets can be convex but not closed. Take in \mathbb{R} , $f := \chi_{\mathbb{R} \setminus (0,1)}$.

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Lower semicontinuous envelopes of level convex functions $f : \mathbb{R}^n \rightarrow [-\infty, +\infty]$ are level convex.

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Convexity implies *level convexity*. Consider $f : x \in (0, 1) \rightarrow \sqrt{x}$ to see that *level convexity* **does not imply** convexity.

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Lower semicontinuous envelopes of level convex functions $f : \mathbb{R}^n \rightarrow [-\infty, +\infty]$ are level convex.

Level convex function $f : \mathbb{R}^n$, $n > 1$, may not be Borel measurable: i.e. $f := \chi_{\mathbb{R}^n \setminus C}$, C convex but not Borel measurable.

Relaxation Theorem

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Theorem

$\Omega \subset \mathbb{R}^n$ bn'd open set, Lipschitz bd. and $f : \mathbb{R}^n \rightarrow \mathbb{R}$ continuous (**and coercive**). If $F(u) := \text{ess sup}_{x \in \Omega} f(\nabla u(x))$ for $u \in u_0 + W_0^{1,\infty}(\Omega)$, and \bar{F} is its relaxed functional, then

$$\bar{F}(u) = \text{ess sup}_{x \in \Omega} f^{\text{lsc}}(\nabla u(x)), \quad \forall u \in u_0 + W_0^{1,\infty}(\Omega) \quad (7)$$

where f^{lsc} is the lower semicontinuous and level convex envelope of f ,

$$f^{\text{lsc}}(\xi) = \sup\{g(\xi) : g \leq f, g \text{ lsc and level convex}\}. \quad (8)$$

Representation for f^{lslc}

Many 'equivalent' representations are available for f^{lslc} .

Caratheodory's formula (f lsc and $\lim_{|\xi| \rightarrow +\infty} \frac{f(\xi)}{|\xi|} = +\infty$):

$$f^{\text{lslc}}(\xi) := \min \left\{ \max_{1 \leq i \leq n+1} f(\xi_i) : \xi = \sum_{i=1}^{n+1} \lambda_i \xi_i, \lambda_i \geq 0, \sum_{i=1}^{n+1} \lambda_i = 1 \right\}.$$

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Representation for f^{lslc}

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Caratheodory's formula (f lsc and $\lim_{|\xi| \rightarrow +\infty} \frac{f(\xi)}{|\xi|} = +\infty$):

$$f^{\text{lslc}}(\xi) := \min \left\{ \max_{1 \leq i \leq n+1} f(\xi_i) : \xi = \sum_{i=1}^{n+1} \lambda_i \xi_i, \lambda_i \geq 0, \sum_{i=1}^{n+1} \lambda_i = 1 \right\}.$$

In particular if f is continuous and $\lim_{|\xi| \rightarrow +\infty} f(\xi) = +\infty$,

$$f^{\text{lslc}}(\xi) = \sup \{ g(\xi), g \text{ level convex}, g \leq f \} =: f^{\text{lc}}(\xi).$$

In terms of duality: define $f^* : \mathbb{R}^n \times \mathbb{R} \rightarrow \mathbb{R}$, and $f^{**} : \mathbb{R}^n \rightarrow \mathbb{R}$ as

$$f^*(p, \gamma) := \sup \{ \min \{ \langle p, x \rangle, \gamma \} - f(x) : x \in \mathbb{R}^n \},$$

$$f^{**}(x) := \sup \{ \min \{ \langle p, x \rangle, \gamma \} - f^*(p, \gamma) : p \in \mathbb{R}^n, \gamma \in \mathbb{R} \}.$$

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Representation for f^{lslc}

Theorem

If $f : \mathbb{R}^n \rightarrow [-\infty, \infty]$ is bounded from below, then

$$f^{**}(\xi) = f^{\text{lslc}}(\xi) \text{ for every } \xi \in \mathbb{R}^n. \quad (9)$$

Ideas about proof: Ekeland & Temam, i.e. Separation theorems (c.f. Martinez-Legaz '88 and Barron & Liu '97).

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Representation for f^{lslc}

Theorem

If $f : \mathbb{R}^n \rightarrow [-\infty, \infty]$ is bounded from below, then

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Ideas about proof: Ekeland & Temam, i.e. Separation theorems (c.f. Martinez-Legaz '88 and Barron & Liu '97).

I. E.: f level convex and lsc. If $(\xi_0, b) \notin \text{epi}(f)$, then $\exists p \in \mathbb{R}^n$, $\gamma, \beta \in \mathbb{R}$ such that

$$\min\{\langle p, \xi_0 \rangle, \gamma\} - \beta = b,$$

$$\min\{\langle p, \xi \rangle, \gamma\} - \beta < \eta, \quad \forall (\xi, \eta) \in \text{epi}(f).$$

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Representation for f^{lsc}

Theorem

If $f : \mathbb{R}^n \rightarrow [-\infty, \infty]$ is bounded from below, then

$$f^{**}(\xi) = f^{\text{lsc}}(\xi) \text{ for every } \xi \in \mathbb{R}^n. \quad (9)$$

Ideas about proof: Ekeland & Temam, i.e. Separation theorems (c.f. Martinez-Legaz '88 and Barron & Liu '97).

I. E.: f level convex and lsc. If $(\xi_0, b) \notin \text{epi}(f)$, then $\exists p \in \mathbb{R}^n$, $\gamma, \beta \in \mathbb{R}$ such that

$$\min\{\langle p, \xi_0 \rangle, \gamma\} - \beta = b,$$

$$\min\{\langle p, \xi \rangle, \gamma\} - \beta < \eta, \quad \forall (\xi, \eta) \in \text{epi}(f).$$

Moreover

$$f^{**}(\xi) = \sup\{g(\xi) : g \text{ is level affine and } g(\xi) \leq f(\xi)\} = f^{\text{lsc}}(\xi).$$

Relaxation Theorem - equivalent formulation:

Take $F : u \in u_0 + W_0^{1,\infty}(\Omega) \rightarrow \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x))$,

\bar{F} be its relaxed functional,

$F^{\text{lsc}} = \sup\{G, G \leq F, \text{weakly } * \text{ lsc, level convex}\}$,

$F^* : (L^1(\Omega))^{n+1} \times \mathbb{R} \rightarrow [-\infty, \infty]$, defined by

$F^*(p, \gamma) = \sup\{\min\{\langle p, u \rangle, \gamma\} - F(u), u \in u_0 + W_0^{1,\infty}(\Omega)\}$;

$F^{**} : u_0 + W_0^{1,\infty}(\Omega) \rightarrow [-\infty, \infty]$, defined by $F^{**}(u) =$

$\sup\{\min\{\langle p, u \rangle, \gamma\} - F^*(p, \gamma) : p \in (L^1(\Omega))^{n+1}, \gamma \in \mathbb{R}\}$

$\langle \cdot, \cdot \rangle$ is the duality between L^1 and L^∞ , where $u \in W^{1,\infty}(\Omega)$

is identified with $(u, \nabla u) \in (L^\infty(\Omega))^{n+1}$.

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Relaxation Theorem - equivalent formulation:

Take $F : u \in u_0 + W_0^{1,\infty}(\Omega) \rightarrow \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x))$,

\bar{F} be its relaxed functional,

$F^{\text{lsc}} = \sup\{G, G \leq F, \text{weakly } * \text{ lsc, level convex}\}$,

$F^* : (L^1(\Omega))^{n+1} \times \mathbb{R} \rightarrow [-\infty, \infty]$, defined by

$F^*(p, \gamma) = \sup\{\min\{\langle p, u \rangle, \gamma\} - F(u), u \in u_0 + W_0^{1,\infty}(\Omega)\}$;

$F^{**} : u_0 + W_0^{1,\infty}(\Omega) \rightarrow [-\infty, \infty]$, defined by $F^{**}(u) =$

$\sup\{\min\{\langle p, u \rangle, \gamma\} - F^*(p, \gamma) : p \in (L^1(\Omega))^{n+1}, \gamma \in \mathbb{R}\}$

$\langle \cdot, \cdot \rangle$ is the duality between L^1 and L^∞ , where $u \in W^{1,\infty}(\Omega)$

is identified with $(u, \nabla u) \in (L^\infty(\Omega))^{n+1}$.

Theorem

$$F^{\text{lsc}}(u) = \bar{F}(u) = F^{**}(u) = \operatorname{ess\,sup}_{x \in \Omega} f^{**}(\nabla u(x)),$$

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Minimum problems

Want to find solutions to (P) . So consider again

$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x)) : u \in u_0 + W_0^{1,\infty}(\Omega) \right\}, \quad (P)$$

$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega} f^{\text{slsc}}(\nabla u(x)) : u \in u_0 + W_0^{1,\infty}(\Omega) \right\}. \quad (P^{lc})$$

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$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x)) : u \in u_0 + W_0^{1,\infty}(\Omega) \right\}, \quad (P)$$

$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega} f^{\operatorname{slsc}}(\nabla u(x)) : u \in u_0 + W_0^{1,\infty}(\Omega) \right\}. \quad (P^{lc})$$

Integral setting: A. Cellina Nonlinear Analysis (1993)

$$\inf \left\{ \int_{\Omega} f(\nabla u(x)) dx : u \in u_0 + W_0^{1,\infty}(\Omega) \right\} \quad (P')$$

$$\inf \left\{ \int_{\Omega} Cf(\nabla u(x)) dx : u \in u_0 + W_0^{1,\infty}(\Omega) \right\}. \quad (P'^c)$$

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$$(P) = (P^{\text{lc}})$$

Theorem

Let $\Omega \subset \mathbb{R}^n$ and $f : \mathbb{R}^n \rightarrow \mathbb{R}$ be as before. Let $u_0 \in W^{1,\infty}(\Omega)$ and let (P) and (P^{lc}) be as above. Then

$$(P) = (P^{\text{lc}}).$$

Moreover, if the boundary condition is affine, say $u_0(x) = u_{\xi_0}(x) := \xi_0 \cdot x + c$ with $\xi_0 \in \mathbb{R}^n$ and $x \in \mathbb{R}^n$, then

$$(P) = f^{\text{lslc}}(\xi_0).$$

Proof: $(P) = (P^{\text{lc}})$ follows by **relaxation**.

$((P^{\text{lc}}))$ is a minimum, i.e. $\exists \bar{u} \in u_0 + W_0^{1,\infty}(\Omega)$ such that

$$\bar{F}(\bar{u}) = (P^{\text{lc}}).$$

The case of affine boundary conditions, is supremal Jensen inequality.

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Another formula for f^{lslc}

By-product-in the scalar case: when f is continuous and coercive, for every $\xi_0 \in \mathbb{R}^n$,

$$f^{\text{lslc}}(\xi_0) = \inf \left\{ \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u) : u \in u_{\xi_0} + W_0^{1,\infty}(\Omega) \right\} \quad (10)$$

(cf. Barron-Jensen-Wang '99), **Weak Morrey-quasiconvexity:**
 $f(\xi_0) = \inf \left\{ \operatorname{ess\,sup}_{x \in Q} f(\nabla u(x)) : u \in u_{\xi_0} + W_0^{1,\infty}(Q) \right\}$ -
necessary condition for lower semicontinuity in the vectorial case.

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Another formula for f^{slsc}

By-product-in the scalar case: when f is continuous and coercive, for every $\xi_0 \in \mathbb{R}^n$,

$$f^{\text{slsc}}(\xi_0) = \inf \left\{ \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u) : u \in u_{\xi_0} + W_0^{1,\infty}(\Omega) \right\} \quad (10)$$

(cf. Barron-Jensen-Wang '99), **Weak Morrey-quasiconvexity:**
 $f(\xi_0) = \inf \left\{ \operatorname{ess\,sup}_{x \in Q} f(\nabla u(x)) : u \in u_{\xi_0} + W_0^{1,\infty}(Q) \right\}$ -
necessary condition for lower semicontinuity in the vectorial case.

[Proof]: Enough: $\forall \epsilon > 0$ find $u \in u_{\xi_0} + W_0^{1,\infty}(\Omega)$ such that

$$f^{\text{slsc}}(\xi_0) + \epsilon \geq \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x)),$$

equiv. find $u \in u_{\xi_0} + W_0^{1,\infty}(\Omega)$ s.t. $\nabla u(x) \in L_{f^{\text{slsc}}(\xi_0) + \epsilon}(f)$ a.e. $x \in \Omega$.

Thus we are led to solve a differential inclusion.

Existence of solutions for the non-level convex problem (P) .

Theorem (NSC)

Consider (P) and (P^{lc}) as above, (i.e. $\Omega, f : \mathbb{R}^n \rightarrow \mathbb{R}$ and $u_0 \in W^{1,\infty}(\Omega)$).

(P) has a solution if and only if there exists $u \in u_0 + W_0^{1,\infty}(\Omega)$ such that

$$f(\nabla u(x)) \leq (P^{lc}), \text{ a.e. } x \in \Omega. \quad (11)$$

In particular, if u_0 is affine, i. e. $u_0 = u_{\xi_0}$ then condition (11) becomes

$$f(\nabla u(x)) \leq f^{lslc}(\xi_0), \text{ a.e. } x \in \Omega. \quad (12)$$

Moreover, still if $u_0 = u_{\xi_0}$, problem (P) admits a solution if and only if

$$\xi_0 \in L_{f^{lslc}(\xi_0)}(f) \cup \text{int } L_{f^{lslc}(\xi_0)}(f^{lslc}). \quad (13)$$

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Connections with the integral setting (cf. (11) and (12))

Comparing with the analogous problem in the integral setting (scalar case, f not convex),

$$\inf \left\{ \int_{\Omega} f(\nabla u(x)) dx : u \in u_0 + W_0^{1,\infty}(\Omega) \right\},$$

we recall that the correspondent formulas to (11) and (12) are given by

$$f(\nabla \bar{u}(x)) = Cf(\nabla \bar{u}(x)) \text{ a. e. in } \Omega, \quad (14)$$

and

$$\int_{\Omega} Cf(\nabla \bar{u}(x)) dx = Cf(\xi_0)\mathcal{L}(\Omega), \quad (15)$$

where $\bar{u} \in u_0 + W^{1,\infty}(\Omega)$ and Cf stands for the convex envelope of f and in the affine case recall $u_0 = u_{\xi_0}$.

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Tool: Differential Inclusions (cf. Cellina, Dacorogna, Friesecke, Marcellini)

Theorem (NDI)

Let $\Omega \subset \mathbb{R}^n$ be a bounded open set, $E \subset \mathbb{R}^n$, $\xi_0 \in \mathbb{R}^n$. If $u \in u_{\xi_0} + W_0^{1,\infty}(\Omega)$ is such that

$$\nabla u(x) \in E, \text{ a.e. } x \in \Omega, \text{ then } \xi_0 \in E \cup \text{int co } E,$$

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Tool: Differential Inclusions (cf. Cellina, Dacorogna, Friesecke, Marcellini)

Theorem (NDI)

Let $\Omega \subset \mathbb{R}^n$ be a bounded open set, $E \subset \mathbb{R}^n$, $\xi_0 \in \mathbb{R}^n$. If $u \in u_{\xi_0} + W_0^{1,\infty}(\Omega)$ is such that

$$\nabla u(x) \in E, \text{ a.e. } x \in \Omega, \text{ then } \xi_0 \in E \cup \text{int co } E,$$

Theorem (SDI)

Let $\varphi \in W^{1,\infty}(\Omega)$ satisfying

$$\nabla \varphi(x) \in E \cup \text{int co } E, \text{ a.e. in } \Omega.$$

Then $\exists u \in \varphi + W_0^{1,\infty}(\Omega)$ s. t. $\nabla u(x) \in E$, a.e. in Ω .

Moreover, given $\varepsilon > 0$, u can be chosen such that

$$\|u - \varphi\|_{L^\infty(\Omega)} \leq \varepsilon.$$

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Back to Theorem NSC

Theorem (NSC)

Let $\Omega \subset \mathbb{R}^n$ and $f : \mathbb{R}^n \rightarrow \mathbb{R}$ be as above. Let $u_0 \in W^{1,\infty}(\Omega)$. Then problem (P) has a solution if and only if there exists $u \in u_0 + W_0^{1,\infty}(\Omega)$ such that

$$f(\nabla u(x)) \leq (P^{\text{lc}}), \text{ a.e. } x \in \Omega. \quad (11)$$

In particular, if u_0 is affine, i. e. $u_0 = u_{\xi_0}$ then condition (11) becomes

$$f(\nabla u(x)) \leq f^{\text{lslc}}(\xi_0), \text{ a.e. } x \in \Omega. \quad (12)$$

Moreover, still if $u_0 = u_{\xi_0}$, problem (P) admits a solution if and only if

$$\xi_0 \in L_{f^{\text{lslc}}(\xi_0)}(f) \cup \text{int } L_{f^{\text{lslc}}(\xi_0)}(f^{\text{lslc}}). \quad (13)$$

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Back to Theorem NSC-few things

The last assertion of the Theorem, if u_0 is not affine, says that

$$\nabla u_0(x) \in L_{(p)lc}(f) \cup \text{int } L_{(p)lc}(f^{\text{slsc}}), \text{ a.e. } x \in \Omega$$

is a sufficient condition for existence of solutions to problem (P) .

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Back to Theorem NSC-few things

The last assertion of the Theorem, if u_0 is not affine, says that

$$\nabla u_0(x) \in L_{(P^{lc})}(f) \cup \text{int } L_{(P^{lc})}(f^{\text{lslc}}), \text{ a.e. } x \in \Omega$$

is a sufficient condition for existence of solutions to problem (P) .

If $u \in u_0 + W_0^{1,\infty}(\Omega)$ solves problem (P) then Theorem $[(P) = (P^{lc})]$, implies

$$\text{ess sup}_{x \in \Omega} f(\nabla u(x)) = (P^{lc}), \text{ i.e. (11)}$$

For the reverse implication observe that $(P^{lc}) \leq (P)$.

(12) is supremal Jensen's ineq.

(13) follows from Theorems [NDI] and [SDI] (with $E = L_{f^{\text{lslc}}(\xi_0)}(f)$) and from $\text{co}(L_{f^{\text{lslc}}(\xi_0)}(f)) = L_{f^{\text{lslc}}(\xi_0)}(f^{\text{lslc}})$.

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A solution always exists in dimension 1

Corollary

Let $\Omega \subset \mathbb{R}$ and $f : \mathbb{R} \rightarrow]-\infty; +\infty[$ be as above. Consider (P) with $u_0 = u_{\xi_0}$. Then problem (P) admits a solution for every $\xi_0 \in \mathbb{R}$.

Proof. Want to show that $\xi_0 \in \mathbb{R}$ verifies (13):

$$\xi_0 \in L_{f^{\text{lslc}}}(\xi_0)(f) \cup \text{int } L_{f^{\text{lslc}}}(\xi_0)(f^{\text{lslc}}).$$

Clearly $\xi_0 \in L_{f^{\text{lslc}}}(\xi_0)(f^{\text{lslc}}) = \text{co } L_{f^{\text{lslc}}}(\xi_0)(f)$.

If $\xi_0 \in L_{f^{\text{lslc}}}(\xi_0)(f)$ OK.

W.l.g. $\xi_0 \in \text{co } L_{f^{\text{lslc}}}(\xi_0)(f) \setminus L_{f^{\text{lslc}}}(\xi_0)(f)$.

We conclude that $\xi_0 \in \text{int } L_{f^{\text{lslc}}}(\xi_0)(f^{\text{lslc}}) = \text{int co } L_{f^{\text{lslc}}}(\xi_0)(f)$: in \mathbb{R} , the elements of the boundary of a convex hull belong either to the original set or to the complement of the convex hull.

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Counterexample in dimension 2

The set $\text{int co } L_{f^{\text{lslc}}}(\xi_0)(f)$ might be empty.

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Counterexample in dimension 2

The set $\text{int co } L_{f^{\text{lslc}}}(\xi_0)(f)$ might be empty.

Example

Let $f : (z_1, z_2) \in \mathbb{R}^2 \rightarrow (z_1^2 - 1)^2 + z_2^2 \in \mathbb{R}$. Clearly $f \geq 0$, and $f(z_1, z_2) = 0$ if and only if $(z_1, z_2) = (\pm 1, 0)$. Since $f \geq 0$ then $f^{\text{lslc}} \geq 0$, and thus

$$\{f^{\text{lslc}} = 0\} = L_0(f^{\text{lslc}}) = \text{co } L_0(f) = [-1, 1] \times \{0\},$$

and it has empty interior.

Take $\xi_0 \in (-1, 1) \times \{0\}$, then

$$\nabla u_{\xi_0} \notin L_{f^{\text{lslc}}}(\xi_0)(f) \cup \text{int } L_{f^{\text{lslc}}}(\xi_0)(f^{\text{lslc}}).$$

Consider the minimizing problem (P) related to the function f as above, with b.c. $u_0 = u_{\xi_0}$, then (P^{lc}) admits a unique solution.

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Another sufficient condition for existence with more general boundary data.

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Theorem

Let $\Omega \subset \mathbb{R}^n$ and $f : \mathbb{R}^n \rightarrow \mathbb{R}$ be as above. Let $u_0 \in W^{1,\infty}(\Omega)$. Consider (P) and (P^{lc}) , and assume that problem (P^{lc}) admits a solution $\bar{u} \in C^1_{\text{piec}}(\Omega)$.

If f^{slsc} is constant in each connected component of the set where $f^{\text{slsc}} < f$, then problem (P) has a solution.

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Theorem (in purpose for f^{lslc})

Let $\Omega \subset \mathbb{R}^n$, $f : \mathbb{R}^n \rightarrow \mathbb{R}$ be as above. Consider (P) and (P^{lc}) with the affine boundary condition u_{ξ_0} and assume f^{lslc} satisfies the condition

$$\left. \begin{aligned} \text{ess sup}_{x \in \Omega} f^{\text{lslc}}(\nabla u(x)) &= f^{\text{lslc}}(\xi_0) \\ u &\in u_{\xi_0} + W_0^{1,\infty}(\Omega) \end{aligned} \right\} \Rightarrow u = u_{\xi_0},$$

then problem (P^{lc}) has a unique solution.

So if $f \neq f^{\text{lslc}}$, (P) has no solution!

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Theorem (Non Existence)

Let $\Omega \subset \mathbb{R}^n$ be a bounded open set, $u_{\xi_0}(x) = \xi_0 \cdot x + c$ for some $\xi_0 \in \mathbb{R}^n$ and let $f : \mathbb{R}^n \rightarrow \mathbb{R}$ be a continuous and **strictly level convex** function. Then problem

$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x)) : u \in u_{\xi_0} + W_0^{1,\infty}(\Omega) \right\}$$

has a unique solution.

Thus (P) with $u_0 = u_{\xi_0}$ for some $\xi_0 \in \mathbb{R}^n \cap \{f^{\text{slcs}}(\xi) < f(\xi)\}$ has no solution.

Strict level convexity

A function is said to be strictly level convex if and only if

$$f(t\xi + (1-t)\eta) < \max\{f(\xi), f(\eta)\}$$

for every $t \in (0, 1)$ and $\xi \neq \eta$.

Equivalently, in the case f is a Borel measurable and finite function,

$$f\left(\int_{\Omega} \varphi d\mu\right) < \mu - \operatorname{ess\,sup}_{x \in \Omega} f(\varphi(x)),$$

for every probability measure μ on \mathbb{R}^n supported in the open set $\Omega \subset \mathbb{R}^d$ and every nonconstant $\varphi \in L^1_{\mu}(\Omega; \mathbb{R}^n)$.

Iff for every c in the range of f , $L_c(f)$ is convex and $R_c(f) \subseteq \operatorname{Ext}(L_c(f))$.

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Crucial observation: In \mathbb{R}^n , $n > 1$, f^{slsc} is not strictly level convex!

Cf. Caratheodory type formula for f^{slsc} : if $f^{\text{slsc}}(\xi_0) < f(\xi_0)$ and f coercive, then f^{slsc} is constant in a segment line containing ξ_0 , eventually with ξ_0 an extreme point.

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Crucial observation: In \mathbb{R}^n , $n > 1$, f^{slsc} is **not** strictly level convex!

Cf. Caratheodory type formula for f^{slsc} : if $f^{\text{slsc}}(\xi_0) < f(\xi_0)$ and f coercive, then f^{slsc} is constant in a segment line containing ξ_0 , eventually with ξ_0 an extreme point.

Thus nonexistence of solution to (P) **has to be** ensured via weaker conditions on f^{slsc} .

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Crucial observation: In \mathbb{R}^n , $n > 1$, f^{lslc} is not strictly level convex!

Cf. Caratheodory type formula for f^{lslc} : if $f^{lslc}(\xi_0) < f(\xi_0)$ and f coercive, then f^{lslc} is constant in a segment line containing ξ_0 , eventually with ξ_0 an extreme point.

Thus nonexistence of solution to (P) **has to be** ensured via weaker conditions on f^{lslc} .

Strict level convexity of supremal does not carry to the supremal functional F !

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1. $f : \mathbb{R}^n \rightarrow \mathbb{R}$, level convex is *strictly level convex* at $\xi_0 \in \mathbb{R}^n$,
if for every $t \in (0, 1)$ and for every

$\xi \neq \eta : \xi_0 = t\xi + (1 - t)\eta \Rightarrow f(\xi_0) < \max\{f(\xi), f(\eta)\}$,
i.e. $\xi_0 \in \text{Ext}L_{f(\xi_0)}(f)$

2. or for every

$t \in [0, 1], \xi \neq \xi_0, f(t\xi_0 + (1 - t)\xi) < \max\{f(\xi_0), f(\xi)\}$,

This condition is stronger than **1.**, take

$$f(\xi) = \begin{cases} -\xi & \text{if } \xi \leq 0, \\ 0 & \text{if } \xi > 0. \end{cases}$$

Non existence of solutions

Other conditions: 3. $f : \mathbb{R}^n \rightarrow \mathbb{R}$, level convex is *strictly level convex at* $\xi_0 \in \mathbb{R}^n$ *in at least one direction* if there exists $\alpha \in \mathbb{R}^n \setminus \{0\}$ such that if for some γ and $\eta \in \mathbb{R}^n$,

$$\begin{cases} \xi_0 = t\gamma + (1-t)\eta, t \in (0, 1) \\ f(\xi_0) = \max\{f(\gamma), f(\eta)\} \end{cases} \quad \text{then } (\gamma - \eta) \cdot \alpha = 0$$

f *strictly level convex at* ξ_0 *in at least one direction (and lsc.)* iff $\xi_0 \in \partial L_{f(\xi_0)}(f)$

$$4. \dots \begin{cases} \xi = t\xi_0 + (1-t)\eta, t \in (0, 1) \\ f(\xi) = \max\{f(\gamma), f(\eta)\} \end{cases} \quad \text{then } (\xi_0 - \eta) \cdot \alpha = 0$$

$f : \xi \in \mathbb{R}^2 \rightarrow \text{dist}(\xi, \mathbb{R}^+ \times \mathbb{R})$ is satisfying **3.** at $\xi_0 = (0, 0)$ but neither **1.**, **2.** or **4.**

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Theorem

Let Ω, f be as above. Let $\xi_0 \in \mathbb{R}^n$, consider problem (P) for $u_0 = u_{\xi_0}$ and assume that $f^{\text{slsc}}(\xi_0) < f(\xi_0)$.
 (P) has a solution if and only if f^{slsc} is not strictly level convex at ξ_0 in any direction.

[Proof.] It relies on the fact that (P) admits a solution $u \neq u_{\xi_0}$ if $\xi_0 \in \text{int}(L_{f(\xi_0)}(f))$.

Definition one possible Definition

$u^* \in W^{1,\infty}(\Omega)$ is an absolute minimizer of $F(u, \Omega) := \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x))$, if, for any open set $\Omega' \subset \overline{\Omega'} \subset \Omega$, u^* is a solution for

$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega'} f(\nabla u(x)) : u \in u^* + W_0^{1,\infty}(\Omega') \right\}.$$

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Definition one possible Definition

$u^* \in W^{1,\infty}(\Omega)$ is an absolute minimizer of $F(u, \Omega) := \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x))$, if, for any open set $\Omega' \subset \overline{\Omega'} \subset \Omega$, u^* is a solution for

$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega'} f(\nabla u(x)) : u \in u^* + W_0^{1,\infty}(\Omega') \right\}.$$

This property is automatically inherited by minimizers of analogous problem in the integral setting.

Definition one possible Definition

$u^* \in W^{1,\infty}(\Omega)$ is an absolute minimizer of $F(u, \Omega) := \operatorname{ess\,sup}_{x \in \Omega} f(\nabla u(x))$, if, for any open set $\Omega' \subset \overline{\Omega'} \subset \Omega$, u^* is a solution for

$$\inf \left\{ \operatorname{ess\,sup}_{x \in \Omega'} f(\nabla u(x)) : u \in u^* + W_0^{1,\infty}(\Omega') \right\}.$$

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It fails for suprema

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It fails for suprema

From now on we will consider Ω convex.

In the above definition no b.c. have been imposed.

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if f level convex, then every affine $u^* = u_\xi$ is an AML!

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if f level convex, then every affine $u^* = u_\xi$ is an AML!

- (i) f level convex and (P) has u_{ξ_0} as b. c., then u_{ξ_0} is a solution to (P) which is an absolute minimizer.
- (ii) If f is strictly level convex at ξ_0 in at least one direction and (P) has u_{ξ_0} as b. c. then u_{ξ_0} is an absolute minimizer and u_{ξ_0} is the only solution to (P) . Thus we have uniqueness of the solution to (P) . But there is no uniqueness of absolute minimizers since, all the other affine functions are AML.

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Question: Does strict level convexity ensure that minimizers are AML?

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Question: Does strict level convexity ensure that minimizers are AML?

Answer: NO even if b.c. are imposed. I.e. Lipschitz extension problem with b.c. admits more than one solution, but A.M.L. are unique because they solve $\Delta_{\infty} u = 0$.

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What about converse? Are AML unique if minimizers unique? Again the answer is no since no b.c. is imposed to define A.M.L. and affine functions answer.

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Observation: We do not know if Crandall's arguments apply to other strict level convex functions (not $\equiv |\cdot|$) to get uniqueness of A.M.L. in terms of PDEs.

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What about AML for non level convex problems?

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What about AML for non level convex problems?

Partial answer: If $f(\xi_0) = f^{\text{lslc}}(\xi_0)$ then ξ_0 is AML for (P) .

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In general one should guarantee that $f(\nabla u(x)) \leq (P^{\text{lc}})$ on any open subset of Ω ...

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Much more difficult!

There are not relaxation results yet! Why?

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A function f is level convex if and only if all its level sets $L_\alpha(f)$ are convex (for every α), i.e. if and only if $I_{L_\alpha(f)}$ is convex for every α .

$$\left(I_E(x) = \begin{cases} 0 & \text{if } x \in E, \\ +\infty & \text{otherwise.} \end{cases} \right)$$

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A function f is **weak Morrey quasiconvex** if and only if $I_{L_\alpha(f)}$ is **QUASICONVEX in the integral sense!** (cf. Barron & Jensen & Wang '01 Ann. I. H. P.)

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But Quasiconvexity in the vectorial integral setting **does not have a precise meaning!**

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THANK YOU!

Appendix

STRONG MORREY QUASICONVEXITY: Definition A Borel measurable function $f : \mathbb{R}^{m \times n} \rightarrow \mathbb{R}$ is said to be strong Morrey quasiconvex if for any $\varepsilon > 0$, for any $\xi \in \mathbb{R}^{m \times n}$, and any $K > 0$, there exists a $\delta = \delta(\varepsilon, K, \xi) > 0$ such that if $\varphi \in W^{1, \infty}(Q; \mathbb{R}^m)$ satisfies

$$\|\nabla \varphi\|_{L^\infty(Q)} \leq K, \quad \max_{x \in \partial Q} |\varphi(x)| \leq \delta,$$

then,

$$f(\xi) \leq \operatorname{ess\,sup}_{x \in Q} f(\xi + \nabla \varphi(x)) + \varepsilon.$$

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then,

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This is the condition proved to be N & S for LSC, but not yet proved to be stronger than Weak M-qcx