

# Currents and dislocations at the continuum scale

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# OUTLINE

- ▶ Physical introduction
- ▶ Main point: mathematical description of admissible lines and deformations
- ▶ Choice of currents: for deformation AND lines

# What is a dislocation?

- ▶ The 3 scale of matter description
  - ▶ Atomic: no precise line location, misfit in atom arrangement
  - ▶ **Mesoscopic**: precise line location, singularity set (of the distortion  $\beta$ ), concentration properties:

$$-(\text{curl}\beta)^T = \Lambda = \tau \otimes \mathbf{B}\delta_{\mathcal{L}} : \text{2nd-rank tensor}$$

- ▶ Atomic origin

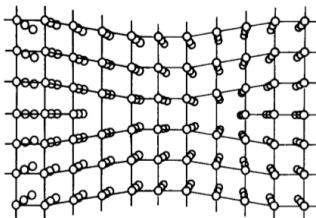
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- ▶ Atomic origin (multivalued displacement)

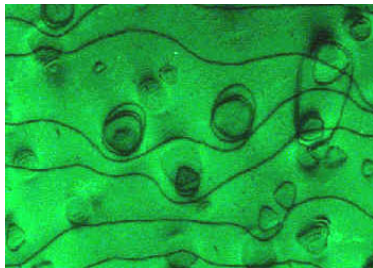
Burgers ·



edge dislocation

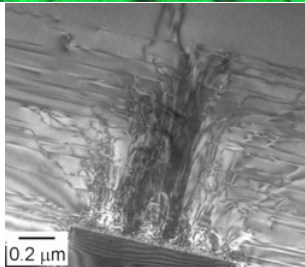
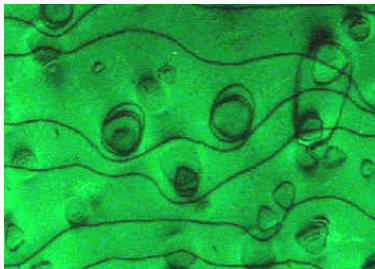
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- ▶ Loops
  - ▶ overlap
  - ▶ intersect
- ▶ Endpoints on the boundary



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- ▶ Loops
  - ▶ overlap
  - ▶ intersect
- ▶ Endpoints on the boundary
- ▶ Clusters
  - ▶ **mobile** geometric necessary dislocations
  - ▶ **immobile** statistically stored
  - ▶ forest dislocations



# Incompatibility and multivaluedness

- ▶ Smooth infinitesimal mesoscopic elasticity. Given  $x_0, x, \gamma_{x_0}^x$  (PATH) and  $\mathcal{E}$  (STRAIN)  $\exists U, W : \mathbb{S}^3 \times \mathbb{M}^3 \rightarrow \mathbb{R}$  such that

$$u(x) = U(\mathcal{E}, \text{curl } \mathcal{E}; \gamma_{x_0}^x) \quad w(x) = W(\text{curl } \mathcal{E}; \gamma_{x_0}^x).$$

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- ▶ Displacement  $u$  and rotation  $w$  well defined  
 $\Leftrightarrow u(x) = U(\mathcal{E}, \text{curl } \mathcal{E}; x_0; x) \Leftrightarrow \text{inc } \mathcal{E} = \text{curl}(\text{curl } \mathcal{E})^T = 0$

$$[u_i](x) = \int_{S_{\gamma_{x_0, x}^{-\tilde{\gamma}_{x_0, x}}}} (y - x) \times \text{inc } \mathcal{E} \cdot dS(y)$$

- ▶ INVARIANT of  $\mathcal{L}$ : MESOSCOPIC Burgers vector:

$$B = [b] = \int_{C_{\mathcal{L}}} [\mathcal{E} + (y - x_0) \times \text{curl } \mathcal{E}] \cdot dy$$



# Minimization problem

- ▶ Nonlinear elasticity: setting of the problem
- ▶ Given a family of dislocations  $\mathcal{L}$  each with Burgers vector  $b$ :
- ▶ Dislocation density:  $\Lambda = \tau \otimes B\delta_{\mathcal{L}}$
- ▶ Deformation variable  $F$ , constrained by:

$$\operatorname{curl}F = -\Lambda^T = -B \otimes \tau\delta_{\mathcal{L}} \quad (\star)$$

- ▶ Stored energy  $\mathcal{W}$ , to be minimized under  $(\star)$

$$\text{MIN } \mathcal{W}(F, \Lambda(\mathcal{L})) \text{ w.r.t. } F, \mathcal{L}$$

- ▶ Class of deformations  $F$  (cf. Palombaro and Müller, 2008 and SCALA TALK)
- ▶ Class of dislocations  $\mathcal{L}$  (THIS TALK)

# Admissible deformations

- ▶ Deformation variable  $F$ , defect variable  $\Lambda$
- ▶ constrained by  $\operatorname{curl} F = -\Lambda_{\mathcal{L}}^T$
- ▶  $B = \int_{C_{\mathcal{L}}(r)} F d\mathcal{H}^1$

$$\Rightarrow F \sim \frac{1}{r} \quad \text{close to } \mathcal{L}$$

- ▶  $\Rightarrow \frac{1}{2} \mathbb{A} \mathcal{E} \cdot \mathcal{E}$  unbounded  $\Rightarrow F \notin L^2$ ...but linear assumption OK away from  $\mathcal{L}$ ...
- ▶ Thus:  $F \in L^p, 1 < p < 2$  (cavitation:  $1 < p < 3/2$ )
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  - (ii) no extreme deformations:  $W(F) \rightarrow \infty$  as  $\det F \rightarrow 0$

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- ▶ Energy (model choice: nonlinear everywhere+constraint)

$$\hat{W}(F) = \mathcal{W}(F, \Lambda) = \mathcal{W}(F, \operatorname{curl} F)$$

# Energy

- ▶ Energy form

$$\hat{\mathcal{W}}(F) = \mathcal{W}(F, \Lambda) = \int_{\Omega} W_e(F) dx + \mathcal{W}_{\text{defect}}(\Lambda)$$

- ▶ assumptions on  $W_e$ :

- ▶ Polyconvexity, i.e., convexity in the minors (in particular  $\det F$ )
- ▶ Bound from below (growth): coercivity in  $L^p$
- ▶ example: Ogden material

$$W(F) = \begin{cases} a \|F\|^p + b \|\text{cof} F\|^q + c |\det F|^r - d \log(\det F) + e, \\ +\infty, \text{ if } F \notin \mathbb{M}_+^3 \end{cases}$$

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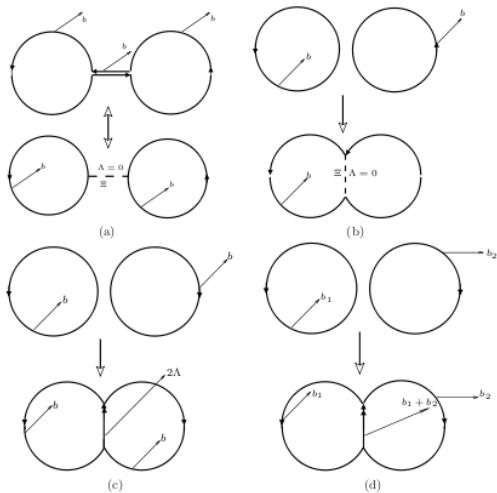
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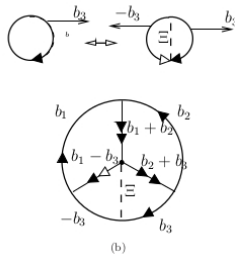
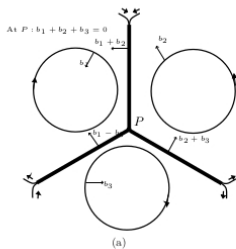
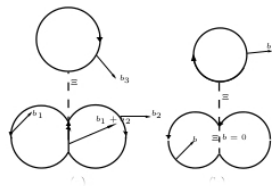
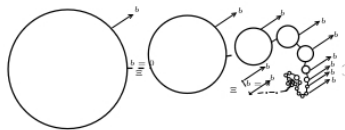
- ▶ assumptions for  $\mathcal{W}_{\text{defect}}$  (cf. Conti and Garroni, 2014):

- ▶  $\mathcal{W}_{\text{defect}}(\Lambda_{\mathcal{L}}) := \int_{\mathcal{L}} \psi(\theta b, \tau) d\mathcal{H}^1$
- ▶ with coercivity and lsc (by relaxation)

# MESOSCOPIC SCALE: Physical properties of the line (1)



# MESOSCOPIC SCALE: Physical properties of the line (2)





# Main model object: Currents (intro 1)

- ▶  $\mathcal{D}^M(\Omega) = \mathcal{D}(\Omega; \Lambda^M \mathbb{R}^n)$ :  $C^\infty$ -differential forms with degree  $M$  with compact support in  $\Omega$ .
- ▶  $\mathcal{D}_M(\Omega) := \mathcal{D}'(\Omega; \Lambda^M \mathbb{R}^n)$ :  $M$ -dimensional currents on  $\Omega$
- ▶ weak convergence:

$$\langle T_k, \omega \rangle \rightarrow \langle T, \omega \rangle$$

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- ▶  $[S] \in \mathcal{D}_M(\mathbb{R}^n)$  will denote the currents obtained by integration on  $S$ :

$$[S](\omega) = \int_S \langle \omega, \vec{S} \rangle d\mathcal{H}^M \quad \text{for } \omega \in \mathcal{D}^M(\Omega) \quad (1)$$

## Main model object: Currents (intro 2)

- ▶ Boundary of a current  $\mathcal{D}_M(\Omega)$  is a current  $\partial T \in \mathcal{D}_{M-1}(\Omega)$  defined by

$$\partial T(\omega) := T(d\omega) \quad \text{for } \omega \in \mathcal{D}^{M-1}(\Omega)$$

- ▶  $\omega = f_i dx_i \Rightarrow d\omega = \frac{\partial f_i}{\partial x_i} dx_i \wedge dx_j$

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- ▶ Mass of a current  $T \in \mathcal{D}_M(\Omega)$ :

$$|T| := \sup_{\omega \in \mathcal{D}^M(\Omega), |\omega| \leq 1} T(\omega)$$

- ▶ Other important quantity:

$$N(T) := |T| + |\partial T|$$

## Currents (3): integer-multiplicity currents

- ▶ Short notation:  $T = \{S, \tau, \theta\}$
- ▶ Let  $\tau(x) \in T_x S$  is a simple unit  $M$ -vector for  $\mathcal{H}^M$ -a.e.  $x \in S$ ,  
 $\theta : S \rightarrow \mathbb{Z}$  be  $\mathcal{H}^M$ -integrable,
- ▶ Integer-multiplicity  $M$ -current:

$$T(\omega) = \int_S \langle \omega(x), \tau(x) \rangle \theta(x) d\mathcal{H}^M(x) \quad \text{for } \omega \in \mathcal{D}^M(\Omega)$$

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### Theorem (Federer 1)

Let  $\{T_i\} \subset \mathcal{D}_M(\Omega)$  be a sequence of integer multiplicity currents such that

$$N_U(T_i) < C \quad \text{for all } i \text{ and } U \subset\subset \Omega,$$

with  $C > 0$ . Then there exist an integer multiplicity current  $T \in \mathcal{D}_M(\Omega)$  and a subsequence  $\{T_{k(i)}\}_i$  such that  $T_{k(i)} \rightarrow T$  weakly in  $\Omega$  as  $i \rightarrow \infty$ .

## Currents (4): indecomposability

- ▶ An integer-multiplicity current  $T \in \mathcal{D}_M(\mathbb{R}^n)$  is said *indecomposable* if there exists no integral current  $R$  such that  $R \neq 0 \neq T - R$  and

$$N(T) = N(R) + N(T - R)$$

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### ▶ Theorem (Federer 2)

- ▶ For every integer-multiplicity current  $T$  there exists a sequence of indecomposable integral currents  $T_i$  such that  $T = \sum_i T_i$  and  $N(T) = \sum_i N(T_i)$ .
- ▶ Suppose  $T$  is an indecomposable integer-multiplicity 1-current on  $\mathbb{R}^n$ . Then there exists a Lipschitz function  $f: \mathbb{R} \rightarrow \mathbb{R}^n$  with  $\text{Lip}(f) \leq 1$  s.t.

$$f \llcorner [0, |T|] \text{ is injective and } T = f_{\#}[0, |T|].$$

Moreover  $\partial T = 0$  if and only if  $f(0) = f(|T|)$ .



## Currents (5): Cartesian maps

- ▶ Let  $u \in W^{1,p}(\Omega, \mathbb{R}^n)$ . Graph of  $u$ :  $\mathcal{G}_u \subset \Omega \times \mathbb{R}^n$  as

$$\mathcal{G}_u := \{(x, u(x)) : x \in R_u \cap \Omega\}.$$

- ▶ Graph of  $u$  is a rectifiable
- ▶ Define:  $\mathcal{A}^p(\Omega, \mathbb{R}^n) := \{u \in W^{1,p}(\Omega, \mathbb{R}^3) : M_{\alpha}^{\beta}(Du) \in L^p(\Omega); \forall \alpha, \beta \text{ with } |\alpha| + |\beta| = 3\}$

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- ▶ Define:  $G_u(\omega) = \int_{\Omega} \langle \omega, \vec{G}_u \rangle d\mathcal{H}^n \llcorner \mathcal{G}_u$
- ▶  $\text{Cart}^p(\Omega, \mathbb{R}^n) := \{u \in \mathcal{A}^p(\Omega; \mathbb{R}^n) : \partial G_u \llcorner (\Omega \times \mathbb{R}^n) = 0\}$

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### ▶ Theorem (Compactness)

Let  $u_k \in \text{Cart}^p(\Omega, \mathbb{R}^n)$  such that  $u_k \rightharpoonup u$  weakly in  $L^p(\Omega, \mathbb{R}^n)$ ,  $M_{\alpha}^{\beta}(Du_k) \rightharpoonup v_{\alpha}^{\beta}$  weakly in  $L^p(\Omega)$ , for all  $\alpha, \beta$  with  $|\alpha| + |\beta| = n$ , then  $u \in \text{Cart}^p(\Omega, \mathbb{R}^n)$  and  $v_{\alpha}^{\beta} = M_{\alpha}^{\beta}(Du)$

# Dislocations as currents (1)

- ▶  $\mathcal{L} = \sum_{i \in \mathcal{I} \subset \mathbb{N}} \mathcal{L}_i$  ( $\mathcal{L}_i$ : integer-multiplicity 1-currents).
- ▶  $\mathcal{L}_i \Rightarrow b^i \in \mathcal{B}_{\mathcal{I}}$  countable
- ▶ A *dislocation* is a couple  $\mathcal{L}_{\mathcal{I}} := (\mathcal{L}, \mathcal{B}_{\mathcal{I}}) = \{L, \tau, \theta, \mathcal{B}_{\mathcal{I}}\}$

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- ▶ Two dislocations  $\mathcal{L}_{\mathcal{I}}$  and  $\mathcal{L}'_{\mathcal{I}}$  are **geometrically equivalent** if

$$\Lambda_{\mathcal{L}} = \Lambda_{\mathcal{L}'}$$

- ▶ Geometric necessary dislocation set  $L^*$  is the support of  $\Lambda_{\mathcal{L}}$

# Regular dislocations

- ▶ Origin of a dislocation: nucleation as a simple loops + time evolution
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- ▶ Regular dislocation:  $\mathcal{L} = \sum_{b \in \mathcal{B}} \mathcal{L}^b$

# Canonical projections

- ▶  $L$  can be dense ( $\bar{L} \neq L$ )
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- ▶ **Projections** on the crystallographic basis:  $b = (\beta_1, \beta_2, \beta_3)$  and

$$\mathcal{L}^{b,i} := \{L^b, \tau^b, \beta_i \theta^b\}$$

$$L := \bigcup_{b \in \mathcal{B}} L^b, \quad \theta_i := \sum_{b \in \mathcal{B}} \text{sg}(\tau^b) \beta_i \theta^b \quad \Rightarrow \quad \mathcal{L}_i := \{L, \tau, \theta_i\}$$

- ▶ **Canonical dislocation:**

$$\hat{\mathcal{L}} = \mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3 \text{ with } \Lambda_{\mathcal{L}} = \Lambda_{\hat{\mathcal{L}}}$$

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- ▶ **Canonical dislocation:**

$$\hat{\mathcal{L}} = \mathcal{L}_1 + \mathcal{L}_2 + \mathcal{L}_3 \text{ with } \Lambda_{\mathcal{L}} = \Lambda_{\hat{\mathcal{L}}}$$

$$|\hat{\mathcal{L}}| \leq C \|\Lambda_{\mathcal{L}}\|_{\mathcal{M}(\bar{\Omega})}$$

# Class of admissible deformations

EXISTENCE RESULT FOR

$$\inf_{\substack{(F, \Lambda_{\mathcal{L}}) \in \mathcal{F} \times \mathcal{MD} \\ -\operatorname{curl} F = \Lambda_{\mathcal{L}}^T}} \mathcal{W}(F, \Lambda_{\mathcal{L}}), \quad (2)$$

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- (ii)  $\det F > 0$  almost everywhere in  $\Omega$ .



## Existence result for mesoscopic dislocations

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SUFFICIENT CONDITIONS TO APPLY THE DIRECT METHOD

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$$M(\partial\mathcal{G}_{u_k}) < C < +\infty$$

(see SCALA talk)



Thank you for the attention!